

# Asymmetric Intramolecular Oxa-Michael Addition of Activated $\alpha,\beta$ -Unsaturated Ketones Catalyzed by a Chiral $N,N'$ -Dioxide Nickel(II) Complex: Highly Enantioselective Synthesis of Flavanones\*\*

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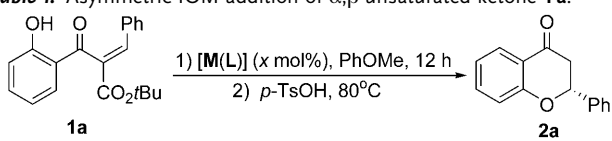
The conjugate addition of oxygen nucleophiles to electron-deficient olefins has been a significant challenge in organic synthesis, owing to the low reactivity coupled with the reversibility of the reaction.<sup>[1–3]</sup> In particular, enantioselective intramolecular oxa-Michael (IOM) addition, which provides a promising approach for synthesis of pharmaceutically and biologically active chiral chromanone skeletons, has been rarely explored.<sup>[4]</sup> Thus far, most reports have focused on catalysis through hydrogen bonding by employing organocatalysts of quinine or cinchona chiral scaffolds.<sup>[5]</sup> In 1999, Ishikawa and co-workers reported the first effective (–)-quinine-catalyzed asymmetric IOM addition to synthesize anti-HIV-1 active calophyllum coumarin.<sup>[5b]</sup> Recently, a remarkable strategy, employing *tert*-butyl ester activated  $\alpha,\beta$ -unsaturated ketones as substrates, for the catalytic synthesis of chiral flavanones was developed by Scheidt and co-workers.<sup>[5d]</sup> Despite these impressive contributions, more efficient and practical catalytic systems for asymmetric intramolecular oxa-Michael addition are still in high demand.

Dicarbonyl compounds are promising candidates as substrates as they can chelate a series of Lewis acids, such as  $\text{Fe}^{\text{II}}$ ,  $\text{Co}^{\text{II}}$ , and  $\text{Ni}^{\text{II}}$  complexes,<sup>[6]</sup> and engage in two-point binding to the central metal, which allows a chelate-ordered transition state. Also, as nickel is a nonprecious metal, nickel complex catalysts have been widely applied to catalytic organic synthesis.<sup>[7]</sup> Moreover, chiral nickel complexes are becoming practical and potential catalysts in enantioselective transformations.<sup>[8–11]</sup>  $N,N'$ -Dioxide ligands are excellent chiral scaffolds as they can coordinate many different metals and have been successfully applied in many asymmetric reactions.<sup>[12,13]</sup> Herein, we present a new, readily prepared,<sup>[14]</sup> chiral  $N,N'$ -dioxide nickel(II) complex catalyst that facilitates

this intramolecular oxa-Michael addition with broad substrates in 90–99% yields with up to 99% *ee*.

Some representative screening results for the catalytic enantioselective IOM addition of the activated  $\alpha,\beta$ -unsaturated ketone **1a** in the presence of an array of  $N,N'$ -dioxide complexes as catalysts (10 mol%) are presented in Table 1. Initially,  $N,N'$ -dioxide **L1** (see Figure 1) was complexed to several metal salts. Although  $[\text{Fe}(\text{acac})_2]$  as the central metal showed ineffective asymmetric induction (Table 1, entry 1), complexes of other Group VIII metals  $\text{Co}^{\text{II}}$  and  $\text{Ni}^{\text{II}}$  showed good inducing potential with enantioselectivities of 63% and 83% *ee*, respectively (Table 1, entries 2 and 3), which confirmed our initial expectation. Then, the influence of the counterions of the  $[\text{Ni}^{\text{II}}(\text{L1})]$  complex was investigated. Although  $\text{NiBr}_2$ ,  $\text{Ni}(\text{ClO}_4)_2 \cdot 6\text{H}_2\text{O}$ , and  $\text{Ni}(\text{OTf})_2$  showed excellent ability in other chiral  $\text{Ni}^{\text{II}}$ -catalyzed reactions, they gave only extremely poor results (Table 1, entries 4–6). Fortunately, when  $\text{Ni}(\text{Tf}(\text{acac})_2) \cdot 2\text{H}_2\text{O}$  was used, the desired

**Table 1:** Asymmetric IOM addition of  $\alpha,\beta$ -unsaturated ketone **1a**.<sup>[a]</sup>



| Entry             | M                                                               | Ligand    | x [mol %] | Yield [%] <sup>[b]</sup> | ee [%] <sup>[c]</sup> |
|-------------------|-----------------------------------------------------------------|-----------|-----------|--------------------------|-----------------------|
| 1                 | $\text{Fe}(\text{acac})_2$                                      | <b>L1</b> | 10        | 88                       | 0                     |
| 2                 | $\text{Co}(\text{acac})_2$                                      | <b>L1</b> | 10        | 97                       | 63 (R)                |
| 3                 | $\text{Ni}(\text{acac})_2$                                      | <b>L1</b> | 10        | 96                       | 83 (R)                |
| 4                 | $\text{NiBr}_2$                                                 | <b>L1</b> | 10        | 10                       | 3 (R)                 |
| 5                 | $\text{Ni}(\text{ClO}_4)_2 \cdot 6\text{H}_2\text{O}$           | <b>L1</b> | 10        | 5                        | 5 (R)                 |
| 6                 | $\text{Ni}(\text{OTf})_2$                                       | <b>L1</b> | 10        | 99                       | 3 (R)                 |
| 7 <sup>[d]</sup>  | $\text{Ni}(\text{Tf}(\text{acac})_2) \cdot 2\text{H}_2\text{O}$ | <b>L1</b> | 10        | 99                       | 97 (R)                |
| 8                 | $\text{Ni}(\text{Tf}(\text{acac})_2) \cdot 2\text{H}_2\text{O}$ | <b>L2</b> | 10        | 84                       | 0                     |
| 9                 | $\text{Ni}(\text{Tf}(\text{acac})_2) \cdot 2\text{H}_2\text{O}$ | <b>L3</b> | 10        | trace                    | n.d.                  |
| 10                | $\text{Ni}(\text{Tf}(\text{acac})_2) \cdot 2\text{H}_2\text{O}$ | <b>L4</b> | 10        | 98                       | 96 (R)                |
| 11                | $\text{Ni}(\text{Tf}(\text{acac})_2) \cdot 2\text{H}_2\text{O}$ | <b>L5</b> | 10        | 95                       | 93 (R)                |
| 12                | $\text{Ni}(\text{Tf}(\text{acac})_2) \cdot 2\text{H}_2\text{O}$ | <b>L6</b> | 10        | 91                       | 13 (R)                |
| 13                | $\text{Ni}(\text{Tf}(\text{acac})_2) \cdot 2\text{H}_2\text{O}$ | <b>L7</b> | 10        | 50                       | 20 (R)                |
| 14                | $\text{Ni}(\text{Tf}(\text{acac})_2) \cdot 2\text{H}_2\text{O}$ | <b>L8</b> | 10        | 90                       | 18 (R)                |
| 15                | $\text{Ni}(\text{Tf}(\text{acac})_2) \cdot 2\text{H}_2\text{O}$ | <b>L9</b> | 10        | n.r.                     | n.d.                  |
| 16                | $\text{Ni}(\text{Tf}(\text{acac})_2) \cdot 2\text{H}_2\text{O}$ | <b>L1</b> | 5         | 99                       | 98 (R)                |
| 17 <sup>[e]</sup> | $\text{Ni}(\text{Tf}(\text{acac})_2) \cdot 2\text{H}_2\text{O}$ | <b>L1</b> | 2         | 91                       | 92 (R)                |

[a] Unless otherwise noted, reactions were carried out with **1a** (0.1 mmol),  $[\text{M}(\text{L})]$  complex (1:1, x mol%), and PhOMe (0.5 mL) at 30°C for 12 h, then *p*-TsOH (0.2 mmol) was added at 80°C for 2 h. [b] Yield of isolated product; n.r. = no reaction. [c] Determined by chiral HPLC analysis. The absolute configuration was determined by comparison to literature data.<sup>[5d]</sup> n.d. = not determined. [d]  $\text{Tf}(\text{acac}) = 1,1,1$ -Trifluoroacetylacetonate. [e] 20 h was needed.

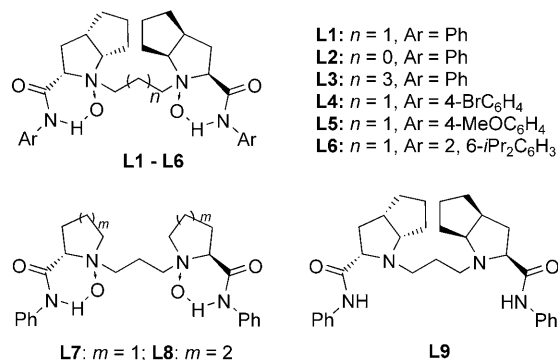
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product was obtained in 99 % yield and with 97 % *ee* (Table 1, entry 7). The disparate results were probably caused by the different electronic and steric properties of the counterions.<sup>[15]</sup>

Next, the structures of ligands were examined (**L1–L9**, Figure 1). The results showed that a linker chain of three carbon atoms in the ligand was essential for the asymmetric



**Figure 1.** Ligands employed for the IOM addition.

addition. Ligand **L2** with a two-carbon atom linkage gave racemic product (Table 1, entry 8), whereas **L3** with a five-carbon atom linkage showed poor reactivity (Table 1, entry 9). Further studies on the amide moiety demonstrated that ligands **L4** and **L5** provided high yields (98 % and 95 %) and excellent *ee* values (96 % *ee* and 93 % *ee*) regardless of the electronic property of the substituents (Table 1, entries 10 and 11). Ligand **L6** with bulky isopropyl groups led to a dramatic decrease in enantioselectivity (Table 1, entry 12). L-Ramiprol acid derivative **L1** was superior to L-proline derived **L7** and L-pipecolic acid derived **L8** as the chiral backbone of the *N,N'*-dioxide in both reactivity and enantioselectivity (Table 1, entry 7 vs. entries 13 and 14). Moreover, when amide ligand **L9** was employed, the reaction did not take place, which revealed that the *N*-oxide group is essential for the reaction (Table 1, entry 15). Further optimization of the reaction conditions identified that adduct **2a** was produced in PhOMe with 5 mol % of [Ni(**L1**)(Tf<sub>2</sub>acac)<sub>2</sub>·2H<sub>2</sub>O] (ratio 1:1) in 99 % yield and 98 % *ee* (Table 1, entry 16). The catalyst loading could be further reduced to 2 mol %, leading to 91 % yield with 92 % *ee* after 20 h (Table 1, entry 17). Furthermore, this process was tolerant to air and moisture.

Under the optimal reaction conditions (Table 1, entry 16), a series of representative olefin substrates (**1a–1k**) were investigated, and the corresponding products (**2a–2k**) were obtained in high yields and with up to 99 % *ee* (Table 2). In the case of electron-poor substituents (**2b–2e**), the catalyst system [Ni(**L1**)(Tf<sub>2</sub>acac)<sub>2</sub>·2H<sub>2</sub>O] led to excellent yields and enantioselectivities (Table 2, entries 2–5, 90–98 % yields, 92–99 % *ee*). Condensed ring substrates **1f** and **1g** also gave the desired products **2f** and **2g** in high yields and with 96 % *ee* and 92 % *ee*, respectively (Table 2, entries 6 and 7). The electron-rich substituents on **1h–1j** underwent the IOM addition and decarboxylation processes to yield the enantiomeric adducts (**2h–2j**) in excellent yields and with *ee* values in the range 84–93 % *ee* (Table 2, entries 8–10). The aliphatic substrate **1k** was

**Table 2:** Olefin substrates for the catalytic asymmetric IOM addition.<sup>[a]</sup>

| Entry            | R                                 | Product   | Yield [%] <sup>[b]</sup> | <i>ee</i> [%] <sup>[c]</sup>   |
|------------------|-----------------------------------|-----------|--------------------------|--------------------------------|
| 1                | Ph                                | <b>2a</b> | 99                       | 98 ( <i>R</i> ) <sup>[e]</sup> |
| 2                | 2-ClC <sub>6</sub> H <sub>4</sub> | <b>2b</b> | 90                       | 93 ( <i>R</i> ) <sup>[e]</sup> |
| 3                | 4-BrC <sub>6</sub> H <sub>4</sub> | <b>2c</b> | 95                       | 97 ( <i>R</i> ) <sup>[e]</sup> |
| 4                | 4-CNC <sub>6</sub> H <sub>4</sub> | <b>2d</b> | 90                       | 99                             |
| 5                | 4-PhC <sub>6</sub> H <sub>4</sub> | <b>2e</b> | 98                       | 92                             |
| 6                | 1-naphthyl                        | <b>2f</b> | 98                       | 96                             |
| 7                | 2-naphthyl                        | <b>2g</b> | 96                       | 92 ( <i>R</i> ) <sup>[e]</sup> |
| 8                | 3-MeC <sub>6</sub> H <sub>4</sub> | <b>2h</b> | 98                       | 93                             |
| 9 <sup>[d]</sup> | 4-MeC <sub>6</sub> H <sub>4</sub> | <b>2i</b> | 97                       | 84 ( <i>R</i> ) <sup>[e]</sup> |
| 10               | 2-MeC <sub>6</sub> H <sub>4</sub> | <b>2j</b> | 99                       | 86                             |
| 11               | Et                                | <b>2k</b> | 90                       | 85                             |

[a] Unless otherwise noted, reactions were carried out with **1** (0.1 mmol), [Ni(**L1**)(Tf<sub>2</sub>acac)<sub>2</sub>·2H<sub>2</sub>O] (5 mol %), and PhOMe (0.5 mL) at 30 °C for 12 h, then *p*-TsOH (0.2 mmol) was added at 80 °C for 2 h. [b] Yield of isolated product. [c] Determined by chiral HPLC analysis; see the Supporting Information for details. [d] 15 mol % catalyst was used. [e] The absolute configuration was determined by comparison to literature data.<sup>[5d]</sup>

also found to be suitable, affording chromanone **2k** in 90 % yield and with 85 % *ee* (Table 2, entry 11).

To extend the substrate scope, our further examination focused on the IOM addition of several representative phenol substrates (**3a–3e**). The results in Table 3 show that different phenol moieties were tolerated in the reaction and good to excellent results (up to 96 % *ee*) were achieved (Table 3, entries 1–4). The methyl-substituted substrates **3a** and **3b** provided the corresponding products **4a** and **4b** in high yields and with 96 % *ee* and 95 % *ee*, respectively (Table 3, entries 1 and 2). The reaction of 4-methoxyphenyl-substituted **3c** gave the product **4c** in 95 % yield and with 80 % *ee* (Table 3, entry 3). Moreover, naphthyl-substituted **3d** was also found to be an excellent substrate for the reaction and afforded the

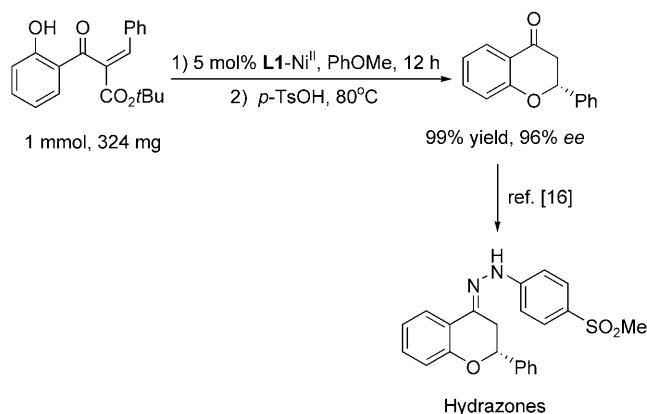
**Table 3:** Phenol substrates for the catalytic asymmetric IOM addition.<sup>[a]</sup>

| Entry            | R <sup>1</sup>       | R <sup>2</sup> | Product   | Yield [%] <sup>[b]</sup> | <i>ee</i> [%] <sup>[c]</sup>   |
|------------------|----------------------|----------------|-----------|--------------------------|--------------------------------|
| 1                | H                    | Me             | <b>4a</b> | 97                       | 96                             |
| 2 <sup>[d]</sup> | Me                   | H              | <b>4b</b> | 90                       | 95 ( <i>R</i> ) <sup>[e]</sup> |
| 3                | H                    | MeO            | <b>4c</b> | 95                       | 80                             |
| 4                | –(CH) <sub>4</sub> – | –              | <b>4d</b> | 90                       | 90 ( <i>R</i> ) <sup>[e]</sup> |
| 5                | H                    | Cl             | <b>4e</b> | 96                       | 40                             |

[a] Unless otherwise noted, reactions were carried out with **3** (0.1 mmol), [Ni(**L1**)(Tf<sub>2</sub>acac)<sub>2</sub>·2H<sub>2</sub>O] (5 mol %), and PhOMe (0.5 mL) at 30 °C for 12 h, then *p*-TsOH (0.2 mmol) was added at 80 °C for 2 h. [b] Yield of isolated product. [c] Determined by chiral HPLC analysis; see the Supporting Information for details. [d] 10 mol % catalyst was used. [e] The absolute configuration was determined by comparison to literature data.<sup>[5d]</sup>

desired product **4d** in 90% yield and with 90% *ee* (Table 3, entry 4). However, electron-poor substituents on the phenol moiety dramatically decreased the enantioselectivity (Table 3, entry 5).

In addition, when the reaction with **1a** was scaled up tenfold with 5 mol % nickel complex at ambient temperature in open vessel, good results (99% yield and 96% *ee*) were still obtained (Scheme 1). Significantly, flavanones can be easily transformed into versatile building blocks for pharmaceutical components, such as hydrazones.<sup>[16]</sup>



**Scheme 1.** Asymmetric IOM addition of **1a** on a tenfold scale (left) and example for its application (right).

In summary, we have developed a highly efficient catalytic enantioselective intramolecular oxa-Michael addition using a new chiral *N,N'*-dioxide nickel(II) complex. This process provided a promising approach for the synthesis of chiral flavanones with broad substrate scope and which was tolerant to air and moisture. In the presence of 5 mol % [Ni<sup>II</sup>(**L1**)] complex, good to excellent enantioselectivities (up to 99% *ee*) and high yields were achieved for most of the substrates under mild conditions. Further investigations of the mechanism of this catalytic system are still in progress.

## Experimental Section

**General experimental procedure:** A mixture of **L1** (2.7 mg, 0.005 mmol) and Ni(Tfacac)<sub>2</sub>·2H<sub>2</sub>O (2.0 mg, 0.005 mmol) in PhOMe (0.5 mL) was stirred at ambient temperature in an open vessel. Substrate **1** or **3** (0.1 mmol) was added, and the reaction mixture was stirred at 30°C for 12 h. After completion of cyclization, *p*TsOH (34 mg, 0.2 mmol) was added, and the solution was heated to 80°C for 2 h (monitored by TLC). The solution was then cooled, and the reaction mixture was purified by flash chromatography on silica gel to obtain the final product **2** or **4**.

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